

**Alan D. Schwartz
Executive Chairman
Guggenheim Partners, L.L.C.**

Mr. Schwartz joined Guggenheim Partners, which is a global, independent and privately held financial services firm in June, 2009. Mr. Schwartz is the former chief executive officer of The Bear Stearns Companies. During his career with Bear Stearns, he served as president and chief operating officer, as executive vice president and head of investment banking, and in other financial management positions. He previously worked in various capacities with Wertheim & Company and R.W. Pressprich & Company.

He earned a B.A. in management science from Duke in 1972. He was a member of the Duke Board of Trustees from 2005 until 2017, has served as chairman of the Fuqua School of Business Board of Visitors and as a member of the Athletic Advisory Board.

Mr. Schwartz is a member of the boards of the Robin Hood Foundation, Marvin and Palmer, NYU Langone Medical Center, Clinton Health Access Initiative, Madison Square Garden and Nat'l Medal of Honor Museum.

Mr. Schwartz is married and has five children.